

10/62/90  
U.S. PAT. & T. OFFICE  
JC971 U.S. PRO  
09/09/06 3709  
06/29/01

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: Lambert DANNER et al  
Title: SPECTRAL ELLIPSOMETER  
HAVING A REFRACTIVE  
ILLUMINATING OPTICAL  
SYSTEM  
Appl. No.: Unassigned  
Filing Date: June 29, 2001  
Examiner: Unassigned  
Art Unit: Unassigned

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Lambert DANNER  
Joachim WIENECKE

Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- Specification, Claim(s), and Abstract (13 pages).
- Informal drawings (3 sheets, Figures 1-3).
- Unexecuted Declaration and Power of Attorney (4 pages).
- Claim for Convention of Priority and priority document.
- Information Disclosure Statement.
- Form PTO-1449 with copies of 5 listed reference(s).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
<b>Basic Fee</b>				<b>\$710.00</b>	<b>\$710.00</b>
Total Claims:	<u>9</u>	- <u>20</u>	= <u>0</u>	x \$18.00	= <u>\$0.00</u>
Independents:	<u>1</u>	- <u>3</u>	= <u>0</u>	x \$80.00	= <u>\$0.00</u>
If any Multiple Dependent Claim(s) present:				+ \$270.00	= <u>\$0.00</u>
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= <u>\$130.00</u>
				<b>SUBTOTAL:</b>	<b>= <u>\$840.00</u></b>
<input type="checkbox"/> [ ]	<b>Small Entity Fees Apply (subtract ½ of above):</b>				<b>= <u>\$0.00</u></b>
				<b>TOTAL FILING FEE:</b>	<b>= <u>\$840.00</u></b>

[ ] A check in the amount of \$0.00 to cover the filing fee is enclosed.

[ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

[ ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

By \_\_\_\_\_

Glenn Law  
Attorney for Applicant  
Registration No. 34,371

Date June 29, 2001  
 FOLEY & LARDNER  
 Washington Harbour  
 3000 K Street, N.W., Suite 500  
 Washington, D.C. 20007-5109  
 Telephone: (202) 672-5426  
 Facsimile: (202) 672-5399